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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/722,262	11/25/2003	Jeffrey E. Fetterman	125889.101	6372
7590 Pepper Hamilton LLP One Mellon Center 50th Floor 500 Grant Street Pittsburgh, PA 15219				
			EXAMINER RAJ, RAJIV J	
			ART UNIT 3686	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/722,262

Applicant(s)

FETTERMAN ET AL.

Examiner

RAJIV J. RAJ

Art Unit

3686

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 March 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-41 & 51-54 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-41 and 51-54 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-8508)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Status of Claims

1. This action is in reply to the amendment filed on 02 March 2009.
2. Claims 1-42 & 51-54 have been elected.
3. Claims 1-42 & 51-54 are currently pending and have been examined.

Priority

4. Applicant's claim for the benefit of a prior-filed application under 35 U.S.C. 119(e) or under 35 U.S.C. 120, 121, or 365(c) is acknowledged.

Claim Rejections - 35 USC § 101

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

6. Claim 1-42 & 53 are rejected under 35 U.S.C. 101 based on Supreme Court precedent, and recent Federal Circuit decisions, a § 101 process must (1) be tied to a machine or (2) transform underlying subject matter (such as an article or materials) to a different state or thing. *Diamond v. Diehr*, 450 U.S. 175, 184 (1981); *Parker v. Flook*, 437 U.S. 584, 588 n.9 (1978); *Gottschalk v. Benson*, 409 U.S. 63, 70 (1972); *Cochrane v. Deener*, 94 U.S. 780,787-88 (1876). The

process steps in claim (1-42 & 53) are not tied to a machine nor do they execute a transformation. Thus, they are non-statutory.

In response to Applicant's amendment, "*via a computing device*", it is the position of the Office that such language (*via*) does make it clear that the machine is performing the method steps.

Claim Rejections - 35 USC § 112

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

8. In response to Applicant's amendments the 112 2nd rejection of claims 15 & 21 has been withdrawn.
9. In response to Applicant's amendments the 112 2nd rejection of claim 11 has been withdrawn.
10. Claim 53 is a means (or step) plus function limitation that invokes 35 U.S.C. 112, sixth paragraph. However, the written description fails to clearly link or associate the disclosed structure, material, or acts to the claimed function such that one of ordinary skill in the art would recognize what structure, material, or acts perform the claimed function.
- Applicant is required to:
- (a) Amend the claim so that the claim limitation will no longer be a means (or step) plus function limitation under 35 U.S.C. 112, sixth paragraph; or

(b) Amend the written description of the specification such that it clearly links or associates the corresponding structure, material, or acts to the claimed function without introducing any new matter (35 U.S.C. 132(a)); or

(c) State on the record where the corresponding structure, material, or acts are set forth in the written description of the specification that perform the claimed function. For more information, see 37 CFR 1.75(d) and MPEP §§ 608.01(o) and 2181.

Claim Rejections - 35 USC § 103

11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

12. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148

USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

13. Claims 1, 7-21, 23-25, 29-36, 51-52 & 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud (US 2002/0042725 A1) (hereinafter Mayaud) in

view of Ghouri (US 2004/0049506 A1) (hereinafter Ghouri) in further view of Applicant's Own Admission (hereinafter AOA).

Claim 1

Mayaud as shown, discloses the following limitations:

- *identifying a medication-use process associated with a pharmaceutical product; (see at least Mayaud [0269-0270] Fig:6 Items:110-120 & related text)*
- *designing a risk management intervention program to manage said adverse events; (see at least Mayaud [0033], Fig:15 Items:182-188 & related text)*

Mayaud does not disclose the following limitations, however Ghouri, as shown does:

- *identifying, characterizing and ranking adverse events caused by using the pharmaceutical product; (see at least Ghouri [0090-0093])*
- *quantifying, via a computing device, the potential effect of said failure mode to create a pharmaceutical hazard score, wherein said hazard score considers the severity and frequency of occurrence of the effects of said failure; (see at least Ghouri [0083-0090])*
- *conducting, via the conducting device, a logical hazard assessment of said failure modes found to have a high hazard score to evaluate the need*

to mitigate the effect of said failure modes; (see at least Ghouri [0083-0090])

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud. One of ordinary skill in the art would have added this feature into Mayaud with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42)

Applicant's limitation, in claim 1: *to evaluate the need to mitigate the effect of said failure modes;*, is merely a recitation of the intended use of the claimed invention and is not given patentable weight to the extent that it imparts limitations to the invention, which are met by Dempsey. (See MPEP 2111.04) A recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

Mayaud/Ghouri does not disclose the following limitation, however AOA, as shown does:

- *identifying potential failure modes where the medication use process will not be adequate to protect patients from experiencing adverse side effects; (see at least AOA [0002-0006])*

It would have been obvious to one of ordinary skill in the art to add the features of AOA into Mayaud/Ghouri. One of ordinary skill in the art would have

added these features into Mayaud/Ghouri with the motivation to provide an improved process for identifying and creating an ideal prescription plan in order to provide improved health care to patients.

Claim 7

The combination of Mayaud/Ghouri/AOA disclose all the limitations of claim 1.

Ghouri further discloses the following limitation:

- *analyzing available data from animal, toxicology, pharmacokinetic, pharmacodynamic and pharmacogenomic studies of the pharmaceutical product; (see at least Ghouri [0019-0020])*

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud/Ghouri/AOA. One of ordinary skill in the art would have added this feature into Mayaud/Ghouri/AOA with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42)

Claim 8

The combination of Mayaud/Ghouri/AOA disclose all the limitations of claim 1.

Ghouri further discloses the following limitation:

- *analyzing existing clinical safety data for the pharmaceutical product; (see at least Ghouri [0019-0020])*

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud/Ghouri/AOA. One of ordinary skill in the art would have

added this feature into Mayaud/Ghourri/AOA with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghourri Column:3 Lines:10-67 Column:4 Lines:1-42)

Claim 9

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Ghourri further discloses the following limitation:

- *analyzing risks identified in similar products;* (see at least Ghourri [0013, 0019-0020])

It would have been obvious to one of ordinary skill in the art to add the feature of Ghourri into Mayaud/Ghourri/AOA. One of ordinary skill in the art would have added this feature into Mayaud/Ghourri/AOA with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghourri Column:3 Lines:10-67 Column:4 Lines:1-42)

Claim 10

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *graphically depicting the medication use process of prescribing, dispensing, and administering the pharmaceutical product as a plurality of steps;* (see at least Mayaud Fig:3-15 & related text)

- *identifying subprocesses for each of said steps; (see at least Mayaud Fig:1-4,6, 15 Items:10, 45, 110 & 182 & related text)*

Claim 11

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *identifying one or more processes of prescribing, dispensing or administering the pharmaceutical product or a combination thereof; (see at least Mayaud Fig:3 Items:54-70, 98-102 & related text)*

Claim 12

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Ghourri further discloses the following limitation:

- *utilizing a pharmaceutical severity scale; and utilizing a pharmaceutical frequency of occurrence scale; (see at least Ghourri Fig:2 & related text)*

It would have been obvious to one of ordinary skill in the art to add the feature of Ghourri into Mayaud/Ghourri/AOA. One of ordinary skill in the art would have added this feature into Mayaud/Ghourri/AOA with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghourri Column:3 Lines:10-67 Column:4 Lines:1-42)

Claim 13

The combination of Mayaud/Ghourii/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *analyzing the criticality and detectability of the failure mode to determine the need to mitigate the failure mode;* (see at least Mayaud [0240-0241], [0245-0246])

Claim 14

The combination of Mayaud/Ghourii/AOA disclose all the limitations of claim 13.

Mayaud further discloses the following limitation:

- *analyzing existing risk control measures for the failure mode to determine whether the existing risk control measures mitigate the failure mode without further intervention;* (see at least Mayaud [0240-0241], [0245-0246])

Claim 15

The combination of Mayaud/Ghourii/AOA disclose all the limitations of claim 13.

Mayaud further discloses the following limitation:

- *education, communications and/or control measures in redundant combinations and incorporating adult learning principles designed to be readily implemented in order to reduce the incidence and consequences of said failure modes;* (see at least Mayaud [0240-0246])

Claim 16

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *a primary intervention targeted to reduce the incidence of each failure mode; (see at least Mayaud [0240-0246])*

Claim 17

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *one or more redundant backup interventions to decrease the occurrence of and/or mitigate the consequences of failure of the primary intervention; (see at least Mayaud [0240-0246])*

Claim 18

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *distributing interventions to multiple end users, wherein the multiple end users are selected from the group consisting of physicians, health care providers, caregivers and patients; (see at least Mayaud [0240-0246])*

Fig:16 Items:201-214 & related text)

Claim 19

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *coordinating care among multiple end users, wherein the multiple end users are selected from the group consisting of physicians, health care providers, caregivers and patients; (see at least Mayaud Fig:16 Items:201-216 & related text)*

Claim 20

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *tailoring said risk management intervention program to local medical practice standards and needs including, but not limited to the delegation of primary responsibility for the program from physician to support staff; (see at least Mayaud [0113-0124])*

Examiner notes that all claim language, in claim 20, following *but not limited to* . . . is not given any patentable weight. This claim language fails to further limit or define applicant's invention.

Claim 21

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *designating interventions that transfer medical knowledge and reinforce knowledge retention; (see at least Mayaud [0019-0035] Fig:16 Items:201-216 & related text)*

Claim 23

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *developing a risk communication curriculum to communicate risk to an end user, wherein the end user is selected from the group consisting of physicians, pharmacists, health care providers, caregivers and patients;*
(see at least Mayaud Fig:16 Items:201-216 & related text)

Claim 24

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *transferring know-how, insights, techniques, methods, and processes from more experienced physicians and support staff to less experienced physicians and support staff;* (see at least Mayaud Fig:16 Items:201-216 & related text)

Claim 25

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *utilizing existing interventions and tools developed by one or more of clinicians, peer to peer forums, clinical consultations and preceptorships;*
(see at least Mayaud Fig:16 Items:201-216 & related text)

Claim 29

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *utilizing a professional support network for the collection and management of data associated with the adverse events;* (see at least Mayaud Fig:16 Items:200-218 & related text)

Claim 30

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 29.

Mayaud further discloses the following limitation:

- *occurrences of the adverse events;* (see at least Mayaud Fig:16 Items:200-218 & related text)

Claim 31

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *educational resources for delivering information regarding prescribing, dispensing, and use of pharmaceutical product;* (see at least Mayaud Fig:3,12,15 Items:138-142,182-188 & related text)

Claim 32

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 31.

Mayaud further discloses the following limitation:

- *identification of control measures for the pharmaceutical product;* (see at least Mayaud [0240-0246])

Claim 33

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 31.

Mayaud further discloses the following limitation:

- *classes to instruct an end user on said control measures; (see at least Mayaud [0300] Fig:11 & related text)*

Claim 34

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 31.

Mayaud further discloses the following limitation:

- *educational resources are available by electronic, written, audio, or video communication; (see at least Mayaud Fig:16 Items:200-218 & related text)*

Claim 35

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Mayaud further discloses the following limitation:

- *implementing distribution controls wherein said distribution controls manage the availability of the pharmaceutical product; (see at least Mayaud Fig:16 Items:200-218 & related text)*

Claim 36

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 35.

Mayaud further discloses the following limitation:

- *limiting availability of the pharmaceutical product to a single source;* (see at least Mayaud [0346-0359], Fig:16 Item:206 & related text)

Claim 51

Mayaud as shown, discloses the following limitations:

- *a logic;* (see at least Mayaud Claim:21-22)
- *identify a medication use process associated with the pharmaceutical product;* (see at least Mayaud [0269-0270], Fig:6 Item:110-120 & related text)
- *design a risk management program to manage said adverse events;* (see at least Mayaud [0033], [0240-0246], Fig:15 Items:182-188 & related text)

Mayaud does not disclose the following limitations, however Ghouri, as shown does:

- *configured to identify, characterize and rank adverse events caused by using a pharmaceutical product;* (see at least Ghouri [0090-0093])
- *quantify the potential effect of said failure modes to create a pharmaceutical hazard score, wherein said hazard score considers the severity and frequency of occurrence of the effects of said failure modes;* (see at least Ghouri [0083-0090])
- *conduct a logical hazard assessment of said failure modes found to have a high hazard score to evaluate the need to mitigate the effect of said failure modes;* (see at least Ghouri [0083-0090])

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud. One of ordinary skill in the art would have added this feature into Mayaud with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42) Mayaud/Ghouri does not disclose the following limitation, however AOA, as shown does:

- *identify potential failure modes of the medication use process; (see at least AOA [0002-0006])*

It would have been obvious to one of ordinary skill in the art to add the features of AOA into Mayaud/Ghouri. One of ordinary skill in the art would have added these features into Mayaud/Ghouri with the motivation to provide an improved process for identifying and creating an ideal prescription plan in order to provide improved health care to patients.

Claim 52

Mayaud as shown, discloses the following limitations:

- *a processor; (see at least Mayaud Fig:16 Items:201-218 & related text)*
- *a memory operably connected to the processor, where the processor can access the memory; (see at least Mayaud Fig:16 Items:201-218 & related text)*

- *a logic operably connected to the processor; (see at least Mayaud Claim:21-22, Fig:16 Items:201-218 & related text)*
- *identify a medication use process associated with the pharmaceutical product; (see at least Mayaud [0269-0270], Fig:6 Item:110-120 & related text)*
- *design a risk management program to manage said adverse events wherein said risk management program comprises control measures to reduce the incidence and consequences of said failure modes; (see at least Mayaud [0033], [0240-0246], Fig:15 Items:182-188 & related text)*

Mayaud does not disclose the following limitations, however Ghouri, as shown does:

- *identify, characterize and rank adverse events caused by using a pharmaceutical product; (see at least Ghouri [0090-0093])*
- *quantify the potential effect of said failure modes to create a pharmaceutical hazard score, wherein said hazard score considers the severity and frequency of occurrence of the effects of said failure modes; (see at least Ghouri [0083-0090])*
- *conduct a logical hazard assessment of said failure modes found to have a high hazard score to evaluate the need to mitigate the effect of said failure modes; (see at least Ghouri [0083-0090])*

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud. One of ordinary skill in the art would have added this feature into Mayaud with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42) Mayaud/Ghouri does not disclose the following limitation, however AOA, as shown does:

- *identify potential failure modes of the medication use process; (see at least AOA [0002-0006])*

It would have been obvious to one of ordinary skill in the art to add the features of AOA into Mayaud/Ghouri. One of ordinary skill in the art would have added these features into Mayaud/Ghouri with the motivation to provide an improved process for identifying and creating an ideal prescription plan in order to provide improved health care to patients.

Claim 54

Mayaud as shown, discloses the following limitations:

- *identifying a medication use process for the pharmaceutical product; (see at least Mayaud [0269-0270], Fig:6 Item:110-120 & related text)*
- *designing a risk management program to manage said adverse events wherein said risk management program comprises control measures to*

reduce the incidence and consequences of said failure modes; (see at least Mayaud [0033], [0240-0246], Fig:15 Items:182-188 & related text) Mayaud does not disclose the following limitations, however Ghouri, as shown does:

- *identifying adverse events caused by using the pharmaceutical product;* (see at least Ghouri [0090-0093])
- *quantifying the potential effect of said failure modes to create a pharmaceutical hazard score, wherein said hazard score considers the severity and frequency of occurrence of the effects of said failure modes;* (see at least Ghouri [0083-0090])
- *conducting a logical hazard assessment of said failure modes found to have a high hazard score to evaluate the need to mitigate the effect of said failure modes;* (see at least Ghouri [0083-0090])

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud. One of ordinary skill in the art would have added this feature into Mayaud with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42) Mayaud/Ghouri does not disclose the following limitation, however AOA, as shown does:

- *identifying potential failure modes of the medication use process; (see at least AOA [0002-0006])*

It would have been obvious to one of ordinary skill in the art to add the features of AOA into Mayaud/Ghouri. One of ordinary skill in the art would have added these features into Mayaud/Ghouri with the motivation to provide an improved process for identifying and creating an ideal prescription plan in order to provide improved health care to patients.

14. Claims 2-6, 26-27, 41 & 54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud in view of Ghouri in view of AOA in further view of Ousdigian et al. (US 6438407 B1) (hereinafter Ousdigian).

Claim 2

The combination of Mayaud/Ghouri/AOA disclose all the limitations of claim 1. Ousdigian further discloses the following limitation:

- *implementing said risk management program; (see at least Ousdigian Column:2 Lines:9-64)*

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghouri/AOA. One of ordinary skill in the art would have added this feature into Mayaud/Ghouri/AOA with the motivation to more accurately measure medical statistics in a patient's medical plan and more

effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

Claim 3

The combination of Mayaud/Ghourri/AOA/Ousdigian disclose all the limitations of claim 2. Ousdigian further discloses the following limitation:

- *measuring the effectiveness of said risk management program;* (see at least Ousdigian Column:2 Lines:9-64)

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghourri/AOA/Ousdigian. One of ordinary skill in the art would have added this feature into Mayaud/Ghourri/AOA/Ousdigian with the motivation to more accurately measure medical statistics in a patient's medical plan and more effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

Claim 4

The combination of Mayaud/Ghourri/AOA/Ousdigian disclose all the limitations of claim 3. Ghourri further discloses the following limitation:

- *measuring and defining metrics, measurement systems, program goals, objectives and program performance analysis and reporting;* (see at least Ghourri Fig:2 & related text)

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghourri/AOA/Ousdigian. One of ordinary skill in the art would have added this feature into Mayaud/Ghourri/AOA/Ousdigian with the

motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42)

Claim 5

The combination of Mayaud/Ghouri/AOA/Ousdigian disclose all the limitations of claim 3. Ghouri further discloses the following limitation:

- *integrating said effectiveness measurement into said pharmaceutical product hazard score;* (see at least Ghouri [0076-0087], [0090-0094])

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghouri/AOA/Ousdigian. One of ordinary skill in the art would have added this feature into Mayaud/Ghouri/AOA/Ousdigian with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42)

Claim 6

The combination of Mayaud/Ghouri/AOA/Ousdigian disclose all the limitations of claim 5. Ousdigian further discloses the following limitation:

- *reporting said effectiveness measurement;* (see at least Ousdigian Column:2 Lines:16-28)

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghouri/AOA/Ousdigian. One of ordinary skill in the art would have added this feature into Mayaud/Ghouri/AOA/Ousdigian with the

motivation to more accurately measure medical statistics in a patient's medical plan and more effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

Claim 26

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1. Ousdigian further discloses the following limitation:

- *implementing human behavior changing interventions;* (see at least Ousdigian Column:2 Lines:9-64)

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghourri/AOA/Ousdigian. One of ordinary skill in the art would have added this feature into Mayaud/Ghourri/AOA/Ousdigian with the motivation to more accurately measure medical statistics in a patient's medical plan and more effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

Claim 27

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1. Ousdigian further discloses the following limitation:

- *utilizing disease management approaches, principles, methods, techniques and tools to change end user behavior;* (see at least Ousdigian Column:2 Lines:9-64)

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghourri/AOA/Ousdigian. One of ordinary skill in the art

would have added this feature into Mayaud/Ghourri/AOA/Ousdigian with the motivation to more accurately measure medical statistics in a patient's medical plan and more effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

Claim 41

The combination of Mayaud/Ghourri/AOA disclose all the limitations of claim 1.

Ousdigian further discloses the following limitation:

- *mandating periodic or intermittent tests for the existence of contraindications for the pharmaceutical product;* (see at least Ousdigian Column:2 Lines:9-64)

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghourri/AOA/Ousdigian. One of ordinary skill in the art would have added this feature into Mayaud/Ghourri/AOA/Ousdigian with the motivation to more accurately measure medical statistics in a patient's medical plan and more effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

Claim 54

Mayaud as shown, discloses the following limitations:

- *a means for identifying a medication use process for the pharmaceutical product;* (see at least Mayaud [0269-0270], Fig:6 Item:110-120 & related text)

- *a means for designing a risk management program to manage said adverse events wherein said risk management program comprises control measures to reduce the incidence and consequences of said failure modes; (see at least Mayaud [0033], [0240-0246], Fig:15 Items:182-188 & related text)*

Mayaud does not disclose the following limitations, however Ghouri, as shown does:

- *a means for identifying adverse events caused by using the pharmaceutical product; (see at least Ghouri [0090-0093])*
- *a means for quantifying the potential effect of said failure modes to create a pharmaceutical hazard score, wherein said hazard score considers the severity and frequency of occurrence of the effects of said failure modes; (see at least Ghouri [0083-0090])*
- *a means for conducting a logical hazard assessment of said failure modes found to have a high hazard score to evaluate the need to mitigate the effect of said failure modes; (see at least Ghouri [0083-0090])*

It would have been obvious to one of ordinary skill in the art to add the feature of Ghouri into Mayaud. One of ordinary skill in the art would have added this feature into Mayaud with the motivation to more accurately and effectively assess, analyze, score, and identify the different aspects of pharmaceutical products. (see at least Ghouri Column:3 Lines:10-67 Column:4 Lines:1-42)

Mayaud/Ghouri does not disclose the following limitation, however AOA, as shown does:

- *a means for identifying potential failure modes of the medication use process; (see at least AOA [0002-0006])*

It would have been obvious to one of ordinary skill in the art to add the features of AOA into Mayaud/Ghouri. One of ordinary skill in the art would have added these features into Mayaud/Ghouri with the motivation to provide an improved process for identifying and creating an ideal prescription plan in order to provide improved health care to patients.

Mayaud/Ghouri/AOA does not disclose the following limitation, however Ousdigian, as shown does:

- *a means for measuring, evaluating and reporting the effectiveness of a risk management program.; (see at least Ousdigian Column:2 Lines:9-64)*

It would have been obvious to one of ordinary skill in the art to add the feature of Ousdigian into Mayaud/Ghouri/AOA. One of ordinary skill in the art would have added this feature into Mayaud/Ghouri/AOA with the motivation to more accurately measure medical statistics in a patient's medical plan and more effectively administer an individually tailored medical regimen. (see at least Ousdigian Column:2 Lines:4-64)

15. Claims 22, 28 & 37-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud in view of Ghouri in view of AOA in further view of Official Notice.

Claim 22

The combination of Mayaud/Ghouri/AOA discloses all of the limitations of claim 1. The combination of Mayaud/Ghouri/AOA does not specifically disclose *utilizing one or more of adult learning principles, enablers, personal application, multiple media, repetitive messaging, self assessments, feedback, incentives and consequence messages*. However, the Examiner takes **Official Notice** that it is old and well known in the medical arts to employ such techniques when administering an effective health program. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghouri/AOA with *utilizing one or more of adult learning principles, enablers, personal application, multiple media, repetitive messaging, self assessments, feedback, incentives and consequence messages* because such practices are standard and necessary aspects of implementing any type of health assessment program.

Claim 28

The combination of Mayaud/Ghouri/AOA discloses all of the limitations of claim 1. The combination of Mayaud/Ghouri/AOA does not specifically disclose *integrating risk messages into promotional materials of the pharmaceutical product*. However, the Examiner takes **Official Notice** that it is old and well

known in the medical arts to employ such techniques when distributing health-related products. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghourri/AOA with *integrating risk messages into promotional materials of the pharmaceutical product* because such practices are standard and necessary to conform to the requirements of distributing pharmaceutical products.

Claim 37

The combination of Mayaud/Ghourri/AOA discloses all of the limitations of claim 35. The combination of Mayaud/Ghourri/AOA does not specifically disclose *limiting availability of the pharmaceutical product to authorized pharmacies*. However, the Examiner takes **Official Notice** that it is old and well known in the medical arts to employ such techniques when distributing health-related products. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghourri/AOA with *limiting availability of the pharmaceutical product to authorized pharmacies* because such practices are a standard, necessary and required practice when distributing pharmaceutical products.

Claim 38

The combination of Mayaud/Ghourri/AOA discloses all of the limitations of claim 35. The combination of Mayaud/Ghourri/AOA does not specifically disclose *requiring a pharmacist to be certified to dispense the pharmaceutical product*. However, the Examiner takes **Official Notice** that it is old and well known in the

medical arts to employ such techniques when distributing health-related products. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghouri/AOA with *requiring a pharmacist to be certified to dispense the pharmaceutical product* because such practices are a standard, necessary and required practice when distributing pharmaceutical products.

Claim 39

The combination of Mayaud/Ghouri/AOA discloses all of the limitations of claim 35. The combination of Mayaud/Ghouri/AOA does not specifically disclose *limiting physician prescribing rights*. However, the Examiner takes **Official Notice** that it is old and well known in the medical arts to employ such techniques when distributing health-related products. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghouri/AOA with *limiting physician prescribing rights* because such practices are a standard, necessary and required practice when distributing pharmaceutical products.

Claim 40

The combination of Mayaud/Ghouri/AOA discloses all of the limitations of claim 35. The combination of Mayaud/Ghouri/AOA does not specifically disclose *limiting the number of refills per prescription, limiting the expiration date of a prescription, and/or limiting the form of a prescription*. However, the Examiner takes **Official Notice** that it is old and well known in the medical arts to employ

such techniques when distributing health-related products. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghouri/AOA with *limiting the number of refills per prescription, limiting the expiration date of a prescription, and/or limiting the form of a prescription* because such practices are a standard, necessary and required practice when distributing pharmaceutical products.

Claim 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mayaud in view of Ghouri in view of AOA in view of Ousdigian in further view of Official Notice.

Claim 42

The combination of Mayaud/Ghouri/AOA/Ousdigian discloses all of the limitations of claim 41. The combination of Mayaud/Ghouri/AOA/Ousdigian does not specifically disclose *contraindications comprise pregnancy*. However, the Examiner takes **Official Notice** that it is old and well known in the medical arts to employ such techniques when distributing health-related products. It would have been obvious to one skilled in the art at the time of the invention to combine the limitations of Mayaud/Ghouri/AOA/Ousdigian with *contraindications comprise pregnancy* because such practices are a standard, necessary and required practice when distributing pharmaceutical products.

Response to Arguments

16. Applicant's arguments filed 02 March 2009 have been fully considered but they are not persuasive. Applicants' arguments will be addressed herein below in the order in which they appear in the response filed 02 March 2009.
17. In response to applicant's argument that cited prior art is nonanalogous art, it has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992).
18. In response to applicant's argument the cited prior art fails to teach *"identifying potential failure modes where the medication use process will not be adequate to protect patients from experiencing adverse side effects"*, the Examiner has fully considered Applicant's arguments and respectfully disagrees. (see at least AOA [0003-0005] *"utilizes a weighted analysis to address only what are deemed to be the most undesirable of the adverse events. Once these adverse events are identified, the analysis turns to identifying and evaluating the potential failures in the system which may lead to those event . . . A widely adopted risk assessment processes is Failure Mode Effect Analysis (FMEA). The FMEA arose as an engineering technique which subsequently has been adopted by a variety of industries to prospectively define, identify, and eliminate or mitigate known or potential failures which may cause a hazard in the system under review. FMEA*

utilizes a systematic approach of identifying all potential failures in a system and then determining potential effects of each failure.")

19. Applicant's argues for the allowability of claims 2-42 based on their dependency on claim 1, and thus these claims are rejected on the same grounds.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry of a general nature or relating to the status of this application or concerning this communication or earlier communications from the Examiner should be directed to **Rajiv J. Raj** whose telephone number is **(571) 270-3930**. The Examiner can normally be reached on Monday-Friday, 7:30am-5:00pm. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, **Jerry O'Connor** can be reached at **571.272.6787**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://portal.uspto.gov/external/portal/pair> <

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Date: 06/10/09

/RJR/ Patent Examiner Art Unit 3686

/Gerald J. O'Connor/
Supervisory Patent Examiner
Group Art Unit 3686